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UNITED STATES PATENT AND TRADEMARK OFFICE

DATE: June 19, 2007

Mail Stop: Amendment : RE: Submission of IDS  
Art Unit: 2623 : Serial No.: 10/630,069  
Fax: 571-273-8300 : Applicant: Tazwell L. Anderson  
From: Dean D. Small : Filed: July 30, 2003  
The Small Patent Law Group LLP :  
: Atty. Dkt. No.: 20973-0020US1  
: Title: Electronic Handheld Audio/Video Receiver  
and Listening/Viewing Device

## DOCUMENTS SUBMITTED WITH TRANSMISSION:

- Facsimile Transmittal (1 page)
- Credit Card Form (1 page)
- IDS Transmittal (1 page)
- Information Disclosure Statement of Applicant (1 page)

*Total pages including cover page: 4**If all pages are not received, please contact: Kimberly Sansone at 314-584-4086*

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PATENT  
Atty. Docket No.: 20973-20US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tazwell L. Anderson, Jr. et al

Serial No.: 10/630,069

Filed: July 30, 2003

For: ELECTRONIC HANDHELD  
AUDIO/VIDEO RECEIVER AND  
LISTENING/VIEWING DEVICE

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: Art Unit: 2623  
:  
: Examiner: Vu, Ngoc K.  
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## INFORMATION DISCLOSURE STATEMENT

Mail Stop : AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☒ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 3 below.
- ☒ 3. Payment of all applicable fees:
- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Credit Card Form (attached). Please credit/charge any over/under payment fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 50-3858.


Respectfully Submitted,

Date: June 19, 2007

06/20/2007 TL0111 00000005 10630069

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Dean D. Small, Reg. No. 34730  
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PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/630,069          |
| Filing Date            | July 30, 2003       |
| First Named Inventor   | Tazwell L. Anderson |
| Art Unit               |                     |
| Examiner Name          |                     |
| Attorney Docket Number | 20973-0020US1       |

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**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
|                    | A1                    | US- 5,243,415   | 9/1993                         | Vance  |   |
|                    | A2                    | US- 5,448,291   | 9/1995                         | Wickline   |   |
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|                    |                       | US-   |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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